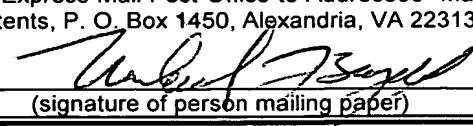
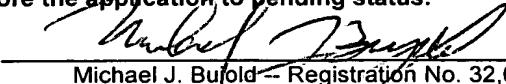


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|---|--|--|--|---|--|---|--|--|--|---|-----------------------------------|--|---|--|--|--|--|
| FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (Rev 5-93) | | ATTORNEY'S DOCKET NUMBER ZAHFRI P750US | | | | | | | | | | | | | | | |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | U.S.APPLICATION NO. <small>(Unknown, see 37 C.F.R.1.5)</small> 10/534,820 | | | | | | | | | | | | | | | |
| INTERNATIONAL APPLICATION NO. PCT/EP2003/012585 | INTERNATIONAL FILING DATE November 11, 2003 | PRIORITY DATE CLAIMED November 16, 2002 | | | | | | | | | | | | | | | |
| TITLE OF INVENTION SHIFTING DEVICE FOR A TRANSMISSION | | | | | | | | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Uwe BEER and Michael DRABEK | | | | | | | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | | | | | | | |
| <p>1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. (PCT/IB/308 mailed) c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)), with original drawing(s), is attached.</p> <p>6. <input type="checkbox"/> The International Application, with paragraph numbering added thereto, is attached.</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> | | | | | | | | | | | | | | | | | |
| Items 11. to 16. below concern other document(s) or information included: | | | | | | | | | | | | | | | | | |
| <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 with PTO/SB/08a/08b.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification w/Marked-Up Version of Amended Specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information:</p> <table border="0"> <tr> <td><input type="checkbox"/> Preliminary Examination Report</td> <td><input type="checkbox"/> Copy of Request</td> </tr> <tr> <td><input type="checkbox"/> Annexes to Pre. Ex. Rep.</td> <td><input type="checkbox"/> Submission of Formal Drawings</td> </tr> <tr> <td><input type="checkbox"/> International Search Report</td> <td><input type="checkbox"/> _____ sheets of formal drawings</td> </tr> <tr> <td><input type="checkbox"/> German Novelty Search Report</td> <td><input type="checkbox"/> Abstract</td> </tr> <tr> <td><input type="checkbox"/> _____ copies of citations</td> <td><input type="checkbox"/> Applicant Claims Small Entity Status</td> </tr> <tr> <td><input type="checkbox"/> Form PCT/IB/308</td> <td><input checked="" type="checkbox"/> Copy of Notification of File Missing Parts</td> </tr> <tr> <td><input type="checkbox"/> International Publ. No. _____</td> <td><input type="checkbox"/> German Language Specification</td> </tr> </table> | | | | <input type="checkbox"/> Preliminary Examination Report | <input type="checkbox"/> Copy of Request | <input type="checkbox"/> Annexes to Pre. Ex. Rep. | <input type="checkbox"/> Submission of Formal Drawings | <input type="checkbox"/> International Search Report | <input type="checkbox"/> _____ sheets of formal drawings | <input type="checkbox"/> German Novelty Search Report | <input type="checkbox"/> Abstract | <input type="checkbox"/> _____ copies of citations | <input type="checkbox"/> Applicant Claims Small Entity Status | <input type="checkbox"/> Form PCT/IB/308 | <input checked="" type="checkbox"/> Copy of Notification of File Missing Parts | <input type="checkbox"/> International Publ. No. _____ | <input type="checkbox"/> German Language Specification |
| <input type="checkbox"/> Preliminary Examination Report | <input type="checkbox"/> Copy of Request | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Annexes to Pre. Ex. Rep. | <input type="checkbox"/> Submission of Formal Drawings | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> International Search Report | <input type="checkbox"/> _____ sheets of formal drawings | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> German Novelty Search Report | <input type="checkbox"/> Abstract | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> _____ copies of citations | <input type="checkbox"/> Applicant Claims Small Entity Status | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Form PCT/IB/308 | <input checked="" type="checkbox"/> Copy of Notification of File Missing Parts | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> International Publ. No. _____ | <input type="checkbox"/> German Language Specification | | | | | | | | | | | | | | | | |
| CERTIFICATION UNDER 37 CFR 1.10 | | | | | | | | | | | | | | | | | |
| <p>I hereby certify that this Transmittal Letter and the papers indicated as being transmitted therewith is being deposited with the United States Postal Service on this date December 15, 2005 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EV622272245US</u> addressed to the: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.</p> | | | | | | | | | | | | | | | | | |
| <u>Michael J. Bujold</u> <small>(typed or printed name of person mailing paper)</small> | |  <small>(signature of person mailing paper)</small> | | | | | | | | | | | | | | | |

| | | | | | |
|---|--------------|--------------|------------|---------------------------|--------------|
| <p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>Basic National Fee (37 CFR 1.492(a)(5):</p> <p>Search Report has been prepared by the EPO or JPO \$1000.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(1) .. \$750.00</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(2) but international search fee paid to USPTO (37 CFR 1.445(a)(2)). \$790.00</p> <p>Neither international preliminary examination fee (37 CFR 1.492)(a)(3) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,110.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(4) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</p> <p>ENTER APPROPRIATE BASIC FEE AMOUNT =</p> | | | | CALCULATIONS | PTO USE ONLY |
| | | | | 0 | |
| <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> | | | | 130 | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | -20 = | 0 | x \$ 50.00 | 0 | |
| Independent Claims | -3 = | 0 | x \$200.00 | 0 | |
| Multiple dependent claim(s) (if applicable) | | | + \$360.00 | 0 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | 130 | |
| <p>Reduction by 1/2 for filing by small entity, if applicable. Applicant Claims Small Entity Status. (Note 37 CFR 1.9, 1.27, 1.28).</p> | | | | 0 | |
| SUBTOTAL = | | | | 0 | |
| <p>Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p> | | | | + 0 | |
| TOTAL NATIONAL FEE = | | | | 0 | |
| <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p> | | | | + 0 | |
| TOTAL FEES ENCLOSED = | | | | 130 | |
| <p>2/23/2005 GFREY1 00000138 10534820 FC:1617 130.00 DP</p> | | | | Amount to be: refunded | \$ |
| | | | | charged | \$ |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$130</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>04-0213</u> in the amount of \$ <u> </u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-0213</u>. A duplicate copy of this sheet is enclosed.</p> | | | | | |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> | | | | | |
| <p>SEND ALL CORRESPONDENCE TO: </p> <p>Michael J. Bujold -- Registration No. 32,018 CUSTOMER NO. 020210 Davis & Bujold, P.L.L.C. Fourth Floor 500 North Commercial Street Manchester, NH 03101-1151 Telephone (603) 624-9220 Telefax (603) 624-9229</p> | | | | | |



UNITED STATES PATENT AND TRADEMARK OFFICE

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|--|---|--|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/534,820 | Liwe Beer | ZAHFRI P750USSHIFTING dev |
| 20210 DAVIS & BUJOLD, P.L.L.C. FOURTH FLOOR 500 N. COMMERCIAL STREET MANCHESTER, NH 03101-1151 | RECEIVED OCT 19 2005 DAVIS AND BUJOLD | INTERNATIONAL APPLICATION NO. PCT/EP03/12585 I.A. FILING DATE 11/11/2003 PRIORITY DATE |

CONFIRMATION NO. 7032

371 FORMALITIES LETTER



OC000000017226653

Date Mailed: 10/17/2005

10-13-05
10-13-05
2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/13/2005
- English Translation of the IA filed on 05/13/2005
- Copy of the International Search Report filed on 05/13/2005
- Copy of IPE Report filed on 05/13/2005
- Preliminary Amendments filed on 05/13/2005
- Information Disclosure Statements filed on 05/13/2005
- Oath or Declaration filed on 05/13/2005
- Request for Immediate Examination filed on 05/13/2005
- Copy of references cited in ISR filed on 05/13/2005
- U.S. Basic National Fees filed on 05/13/2005
- Priority Documents filed on 05/13/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|---------------------------|
| 10/534,820 | PCT/EP03/12585 | ZAHFRI P750USSHifting dev |

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- Acceptance, December 15, 2005, 6:59 pm, BEDFORD, NH 03110

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12/15/05
DR